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PTO/SB/05 (08-00)

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## Attorney Docket No. MINSH-001A UTILITY First Inventor BILL MINSHALL ET AL. **PATENT APPLICATION** Title ANTI-TUMOR VACCINE **TRANSMITTAL** Express Mail Label No. EL873334545US (Only for new nonprovisional applications under 37 CFR 1.52(b))

							<u>\</u>	
	CATION ELEMENTS neerning utility patent application contents.	ADDRESS		Assistant Com Box Patent Ap Washington, D	plication	for Pate	ents	
1. Applicant claims small entity status. 3. Specification [Total Pages 2.2_]  (preferred arrangement set forth below)  -Descriptive title of the invention  -Cross Reference to Related Applications  -Statement Regarding Fed sponsored R & D  -Reference to sequence listing, a table, or  a computer program listing appendix  -Background of the Invention  -Brief Description of the Drawings (if filed)37 CFR 3.73(b)  -Detailed Description  -Claim(s)  -Abstract of the DisclosureCopies of IDS Citations  4. Drawing(s) (35 U.S.C. 113) [Total Sheets]  5. Oath or Declaration [Total Pages _3]  a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d))  (for continuation/divisional with Box 17 completed)  i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)  named in the prior application, see 37 CFR  1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76		7. CD-ROM or C-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27 (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper  c. Statements verifying identity of above copies a computer program listing appendix  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement Power of Attorney  11. English Translation Document (if applicable)  12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations  13. Preliminary Amendment  14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Other:						
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Continuation  Divisional  Examiner  Continuation-in-part of prior application No.:  Group/Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
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Country	Country USA							
Name (Print/Type) MATTHEW A. NEWBOLES Registration No. (Attorney/Agent) 36,224								
Signature	Milleller				Date: 10/3	0/01		
							<del></del>	

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FEE TRANSMITTAL		Complete if Known			
		Application Number	Unknown		
for FY 2002		Filing Date	Herewith		
		First Named Inventor	BILL MINSHALL ET AL.		
Patent fees are subject to annual revision.		Examiner Name	Unknown		
TOTAL AMOUNT OF PAYMENT	(\$) 355.00	Group Art Unit	Unknown		
TO THE MILET		Attorney Docket No.	MINSH-001A		

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to:  Deposit	Large Entity Small Entity Fee Fee Fee Fee Fee Description				
Account	Code (\$) Code (\$) Fee Paid				
Number 19-4330	105 130 205 65 Surcharge - late filling fee or path				
Deposit Account	127 50 227 25 Surcharge -late provisional filing fee or cover sheet				
Name Stetina Brunda	139 130 139 130 Non-English specification				
x Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	147 2,520 147 2,520 For filing a request for ex parte reexamination				
Applicant claims small entity status. See 37 CFR 1.27	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
<b>□</b>	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
2. X Payment Enclosed:	115 110 215 55 Extension for reply within first month				
•	116 400 216 200 Extension for reply within second month				
x Check ☐ Credit card ☐ Money Order ☐ Other					
	118 1,440 218 720 Extension for reply within fourth month 128 1,960 228 980 Extension for reply within fifty month				
FEE CALCULATION	119 310 219 155 Notice of Appeal				
	120 310 220 155 Filing a brief in support of an appeal				
1. BASIC FILING FEE	121 270 221 135 Request for oral hearing				
Large Entity Small Entity	136 1,510 138 1,510 Petition to institute a public use				
Fee Fee Fee Fee Description	proceeding 140 110 240 55 Petition to revive-unavoidable				
Code (\$) Code (\$) Fee Paid	141 1,240 241 620 Petition to revive-unintentional				
404 740 004 070 1000 500 6	142 1,24C 242 620 Utility issue fee (or reissue)				
101 740 201 370 Utility Filing fee 355.00 106 330 206 165 Design Filing fee	143 440 243 220 Design issue fee				
107 510 207 255 Plant Filling fee	144 600 244 300 Plant issue fee				
108 740 208 370 Reissue Filing fee	122 130 122 130 Petitions to the Commissioner				
114 160 214 85 Provisional Filing fee	123 50 123 50 Petitions related to provisional applications				
SUBTOTAL (1) \$ _355.00_	126 180 126 180 Submission of Information Disclosure Statement				
	581 40 5 81 40 Recording each patent assignment per				
	property (times number of properties)  146 710 246 355 Filing a submission after final rejection				
2. EXTRA CLAIM FEES	(37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be				
Fee from	149 710 249 355 For each additional invention to be examined				
Extra Claims below Fee Paid	179 710 279 355 Request for Continued Examination (RCE)				
Total Claims 20** = X =					
Independent Claims - 3 = X =	169 900 169 900 Request for expedited examination				
Claims - 3 = X = Multiple Dependent =	of a design application Other fee (specify)				
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Code (\$) 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 109 80 209 40 110 18 210 9	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$0				
SUBTOTAL (2) \$ 0  "or number previously paid, if greater; For Reissues, see above					

SUBMITTED BY:				Complete (if applicable)		
Name (Print/Type)	MATTHEW A. NEWBOLES	Registration No. (Aftorney/Agent)	36,224	Telephone	(949) 855-1246	
Signature Ullimudle				Date	10/30/01	

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## MICHELLE SCHROEDER

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- 1. Utility Transmittal:
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- 3.
- Application (22 Pages); Declaration (3 Pages); 4.
- 5. POA Form;
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- 7. Certificate of Mailing; and
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